

ADULT PRIMARY CARE	
PEDIATRIC PRIMARY CARE	
ADULT BEHAVIORAL HEALTH	
PEDIATRIC BEHAVIORAL HEALTH	
RELEASE OF INFORMATION SIGNED	

PATIENT | Registration

		/	/				
DATE		SOCIAL SECURITY	NUMBER				
FIRST NAME		MIDDLE NAME		LAST NAME			
		OTHER PREFFERE	D NAME (IF A	PPLICABLE)			
HOME ADDRESS		CITY		STATE	ZIP COD	E	
PHONE NUMBER	WO	RK PHONE NUMBER		EN	MAIL ADDRESS		
AGE DATE	OF BIRTH	PLACE	OF BIRTH	SEX	(AT BIRTH		
CURRENT GENDER IDENTITY	,	PREFFERED PRON	OUN	SEX	(UAL ORIENTATI	ION	
Do you give us permission to Call you at home? Leave message(s) at home? Email you? Ask for survey participation?	☐ Yes ☐ Yes ☐ Yes	S □ No S □ No	Leave	u at work? message(s) a HOPES inforn		☐ Yes ☐ Yes ☐ Yes	□ No □ No □ No
Have you tested positive for HIV Hepatitis	-	e following? (p		ck all that ap			
Gender: ☐ Male ☐ Female	☐ Oth	ner					
Race: ☐ American Indian/Alaskan ☐ Native Hawaiian/Pacific I		☐ Asian ☐ White/Ca	ucasian	-	frican Amer	rican	
Ethnicity: Hispanic Non-Hisp	anic	Preferred Lar ☐ English		anish 🗌	Other		
Marital Status: ☐ Single ☐ Married	☐ Par	tnered \square D	ivorced/S	eparated \Box	Widow/W	idower	
Have you been in the militar	• v? □ Yes	s □ No					

Revised: 2/1/15 Page 1 of 2

How did you hear about us? ☐ By a current HOPES patient ☐ Public ad	☐ Online ☐ Other
	ged by Change Point or our outreach team to seek
EMPLOYER (IF APPLICABLE)	POSITION
PARTNER/SPOUSE (IF APPLICABLE)	PHONE NUMBER
EMERGENCY CONTACT	PHONE NUMBER
RELATIONSHIP TO PATIENT	
PRIMARY CARE PHYSICIAN (IF APPLICABLE)	PHONE NUMBER
notify HOPES staff immediately if there are any changes in	tration form is true and correct. I understand that it is my responsibility to my name, address, telephone number, work status, and/or location, d through outside agencies or community based organizations.
PATIENT SIGNATURE	DATE
PARENT/ LEGAL GUARDIAN NAME	DATE
PARENT/ LEGAL GUARDIAN SIGNATURE	DATE

Revised: 2/1/15 Page 2 of 2



ADULT PRIMARY CARE	
PEDIATRIC PRIMARY CARE	
ADULT BEHAVIORAL HEALTH	
PEDIATRIC BEHAVIORAL HEALTH	

PATIENT | Consent to Treatment

I hereby consent to and authorize such treatment as prescribed and fully explained to me by HOPES. I further consent to and authorize such laboratory tests and procedures, x-ray examinations and other routine medical services that are deemed necessary by the HOPES providers. It is not possible to make guarantees concerning the results of the examination for treatment. I acknowledge no such guarantee has been made to me. I understand I will have the opportunity to discuss any and all care and/or treatment proposed to me with the HOPES providers and I may refuse to consent for care and/or treatment if I do not want to proceed with such course of treatment. I will provide HOPES with accurate information regarding my medical, sexual, drug, and/or alcohol history and personal or social concerns which may impact my health or medical care to ensure proper treatment, care, and referral for needed services. I am responsible for having all lab tests, x-rays, and other diagnostic procedures done in a timely manner, prior to my next scheduled clinic appointment, and I will report for all scheduled clinic appointment on time. I will be able to choose a HOPES provider based on availability. I understand that I may be seen by another HOPES provider if my regular provider is unavailable. I understand that if I am late for my appointment, I may not be seen by my scheduled provider. I understand that I must request medication refills by contacting the pharmacy at least three business days prior to my medication supply being exhausted. I acknowledge that the HOPES Clinic does not operate an emergency care service. Staff members are available to me during regular business hours to answer any questions or concerns regarding my need for urgent care. If my situation is an emergency, I will call 911 for assistance or go to the nearest emergency room. If I wish to speak to a provider after hours, I can call the HOPES clinic at (775) 786-4673. I will be directed to the answering service and a provider will return my call. _ I understand that HOPES has an integrated team approach to patient management and that medical information may be shared among physicians, Physician Assistants, pharmacists, behavioral health providers, RNs, case managers, medical assistants, trainees, medical students, or interns without consent. This information is used solely for the purpose of coordination of clinical care and social service's needs. **PAYMENT FEES FOR SERVICES** Northern Nevada HOPES provides services to clients who have no third party insurance coverage using a sliding fee scale, adhering to the Health and Human Services Poverty Guidelines. I understand that charges for services are contingent upon my income and can change as my income increases or decreases. In the event that I am entitled to benefits arising out of any policy of private or commercial insurance, said benefits will be applied for and assigned to Northern Nevada HOPES. If I am covered by Medicare, or Medicaid a claim will be sent to the appropriate agency. However, I understand that I am responsible for any copays, deductibles, or other charges required by any insurance policy or government agency and that such copays are payable at the time of rendered services unless other prior arrangements have been made. I have carefully read and fully understand this consent and agreement. I have received a copy of this consent/agreement and am duly authorized to execute the above, and I accept the terms as described. I understand this consent/agreement is effective until revoked in writing. PATIENT/LEGAL GUARDIAN SIGNATURE DATE

Revised: 2/1/15 Page 1 of 1

DATE

WITNESS SIGNATURE



ADULT PRIMARY CARE	
PEDIATRIC PRIMARY CARE	
ADULT BEHAVIORAL HEALTH	
PEDIATRIC BEHAVIORAL HEALTH	

PATIENT | Household Dependents

Please complete the following information for all partners, children, and others living in your home: FIRST NAME MIDDLE NAME LAST NAME DATE OF BIRTH ETHNICITY RELATIONSHIP FIRST NAME MIDDLE NAME LAST NAME DATE OF BIRTH ETHNICITY RELATIONSHIP MIDDLE NAME LAST NAME DATE OF BIRTH ETHNICITY RELATIONSHIP FIRST NAME MIDDLE NAME LAST NAME DATE OF BIRTH ETHNICITY RELATIONSHIP FIRST NAME MIDDLE NAME LAST NAME DATE OF BIRTH ETHNICITY RELATIONSHIP FIRST NAME MIDDLE NAME LAST NAME DATE OF BIRTH ETHNICITY RELATIONSHIP **COMMENTS:**

Revised: 2/1/15 Page 1 of 1



NOTICE | Privacy Practices

This notice describes how medical information about you may be used and disclosed, and how you can get access to this information. Please review it carefully. A copy of this policy is also available on our website at nnhopes.org.

Privacy Practices

This page describes the type of information we gather about you, with whom the information may be shared and the safeguards we have in place to protect it. You have the right to the confidentiality of your medical information and the right to approve or refuse the release of specific information except when the release is required by law, or permitted by law without your authorization.

If the practices described in this notice meet your expectations, there is nothing you need to do. If you prefer additional limitations on the use of your medical information, you may request them following the procedure below.

If you have any questions about this notice, please contact our Privacy Officer at the address below.

The regulations also require that we make a good faith effort to obtain your written acknowledgement that you have received this Notice. This is why you will be asked to sign this form at the end.

Who Will Follow This Notice

This notice described practices of all of the persons and entities at Northern Nevada HOPES regarding the use of your medical information and that of:

- Any health care professional employed and contracted by Northern Nevada HOPES who is authorized to enter information into your medical record.
- All departments and units of Northern Nevada HOPES you may visit.
- Any member of a volunteer group that you are involved in at HOPES.
- All employees, staff and other personnel who may need access to your information.
- All entities, sites and locations of Northern Nevada HOPES follow the terms of this notice. In addition, these entities, sites and locations may share medical information with each other for treatment, payment or health care operations purposes as described in this notice.

Our Pledge Regarding Medical Information

We understand that medical information about you and your health is personal. Protecting medical information about you is important. We create a record of the care and services you receive. We need this record to provide you with quality care and to comply with certain legal requirements. This notice applies to all of the records of your care generated by Northern Nevada HOPES, whether made by health care professionals or other personnel.

Revised 03/17/2014 Page 1 of 9



This notice will tell you about the ways in which we may use and disclose medical information about you. We also describe your rights and certain obligations we have regarding the use and disclosure of medical information.

We are required by law to:

- Keep confidential any medical information that concerns your condition or treatment, how
 your care is paid for and demographic information, if such information is used to identify
 you;
- Give you this notice of our policies, procedures and information privacy practices with respect to medical information about you; and
- Follow the terms of the notice that is currently in effect.

Nevada Law

In addition to federal law, Nevada law places more stringent limitations on the disclosure and use of mental health information, genetic information, communicable disease information and blood and urine tests.

Nevada's Communicable and Sexually Transmitted Disease Act ("the Act") is set out in NRS 441A. NRS 441A.220 governs the disclosure of "all information of a personal nature" about or provided by any person who has one of 66 listed communicable diseases. It authorizes only certain disclosures of that information. Disclosure is allowed:

- 1. For statistical purposes, provided that the identity of the person is not discernible from the information disclosed;
- 2. In a prosecution for violation of a provision of the Act;
- 3. In a proceeding for an injunction pursuant to the Act;
- In reporting the actual or suspected abuse or neglect of a child or elderly person;
- 5. To any person who has a medical need to know the information for his own protection or the well-being of a patient or dependent person, as determined by the county health authority in accordance with regulations;
- 6. If the patient consents in writing to the disclosure;
- 7. By the health authority, to the victim and the arrested, suspected perpetrator of a sexual offense, or to their parents or guardians where they are minors;
- 8. By a provider to a law enforcement officer or agent, correctional officer, emergency medical attendant or fireman pursuant to a court petition;
- 9. To the state department of human resources, where a patient diagnosed as having AIDS/HIV is a Medicaid recipient;
- 10. To firemen, police officers and emergency medical service personnel, where the state board of health has determined the information to be disclosed relates to a communicable disease significantly related to that occupation; or
- 11. Where authorized or required by a specific statute.

The statute makes it very clear that disclosure for any purpose not specifically listed is forbidden, even pursuant to a subpoena, search warrant or discovery order. Uses and disclosures of information relating to patients with communicable diseases for treatment, payment and operations purposes will be limited

Revised 03/17/2014 Page 2 of 9



to our treatment, payment and operations purposes, and disclosures will not be made to other providers, even where allowed by the HIPAA 'Standards for Privacy of Individually Identifiable Health Information" (the "Privacy Standards"), except pursuant to a specific written patient authorization. Marketing, fund-raising and research uses and disclosures will not be made, unless very specific authority to release the information is obtained from the patient (e.g., "you agree that any and all information, including information about any communicable disease, including HIV, AIDS or other sexually transmitted diseases you may have, may be used and disclosed for fund-raising, marketing or research purposes, so long as such information will not be made public"). Disclosures to law enforcement will only be made as specifically allowed by the statute above. Medical information containing information about a patient's communicable disease will not be disclosed to an attorney in response to a subpoena, except where the attorney provides a signed authorization from the patient.

Regarding mental health information, NRS 433A.360 governs the release of clinical records for "clients." The term "clients" is defined to include persons who seek treatment or training in a private institution offering mental health services. Private institutions which provide mental health services to "clients" must keep "clinical records." "Clinical records" are records including "information pertaining to the client's admission, legal status, treatment and individualized plan for habilitation."

NRS 433A.360 provides that no part of the clinical record may be released except in certain specified circumstances. Release is authorized:

- 1. To physicians, attorneys and social agencies as specifically authorized in writing by the client, his parents or guardians;
- 2. As ordered by a court;
- To a qualified member of the staff of a facility run by the division of mental health and developmental services of the department of human resources, or to a division employee, or a member of the staff of a Nevada agency established pursuant to the federal Developmental Disabilities Assistance and Bill of Rights Act or the Protection and Advocacy for Mentally III Individuals Act of 1986;
- 4. For statistical and evaluative purposes, if the information disclosed is abstracted in such a way as to protect the identity of individual clients; or
- 5. To the extent necessary to make, or allow the client to make a claim for aid, insurance or medical assistance.

Uses and disclosures of information for treatment, payment and operations purposes will be limited to our purposes, and disclosures will not be made to other providers without client consent, even where allowed by the Privacy Standards. Marketing or fund-raising uses and disclosures will not be made, unless very specific authority to release such information is obtained from the patient. Research disclosures will only be made pursuant to 4 above. Disclosures to law enforcement will not be made without a court order. Disclosures to an attorney in response to a subpoena will not be made, except where the attorney provides a signed authorization from the patient.

How We May Use and Disclose Medical Information About You

Revised 03/17/2014 Page 3 of 9



The following categories describe different ways that we may use and disclose medical information. For each category of uses or disclosures, we will try to give some examples. Not every use or disclosure in a category will be listed.

For Treatment. We may use medical information about you to provide you with medical treatment or services. We may disclose medical information about you to doctors, nurses, technicians, training doctors, or other health care professionals who are involved in taking care of you. Different health care professionals may also share medical information about you in order to coordinate the different things you need, such as prescriptions, lab work and x-rays. We may also disclose medical information about you to healthcare professionals outside Northern Nevada HOPES who may be involved in your medical care or who provide services that are part of your care.

For Payment. We may use and disclose medical information about you so that the treatment and services you receive may be billed to and payment may be collected from you, an insurance company, or a third party. For example, your insurance may need to know about care you received so they will pay us or reimburse you for the care. We may also use and disclose medical information about you to obtain prior approval or to determine whether your insurance will cover the treatment, or to undertake other tasks related to seeking payment for services provided. We may also disclose medical information to another health care provider who is or has been involved in your treatment, so that the provider may seek payment for services rendered. You have the right to restrict disclosure of information to a health plan if you or anyone other the health plan has paid for your treatment in full out of pocket.

For Health Care Operations Purposes. We may use and disclose medical information about you for health care operations purposes. This is necessary to make sure that all of our patients receive quality care. For example, we may use medical information to review our treatment and services and to evaluate the performance of our staff in caring for you, or to otherwise manage and operate efficiently. We may also disclose information to doctors, nurses, technicians, training doctors, medical students, and other personnel for review and learning purposes. We may remove information that identifies you from this set of medical information so others may use it to study health care and health care delivery without learning who the specific patients are.

Appointment Reminders. We may use and disclose medical information to contact you as a reminder that you have an appointment for treatment or medical care.

Treatment Alternatives. We may use and disclose medical information to tell you about or recommend possible treatment options or alternatives that may be of interest to you.

Health-Related Benefits and Services. We may use and disclose medical information to tell you about health-related benefits or services that may be of interest to you.

Individuals Involved in Your Care or Payment for Your Care. We may release medical information about you to a friend or family member who is involved in your medical care, only with a written and signed consent.

Revised 03/17/2014 Page 4 of 9



Research. Under certain circumstances, we may use and disclose medical information about you for research purposes. For example, a research project may involve comparing the health and recovery of all patients who received one medication to those who received another, for the same condition. All research projects, however, are subject to a special approval process. This process evaluates a proposed research project and its use of medical information, trying to balance the research needs with patients' need for privacy of their medical information. Before we use or disclose medical information for research, the project will have been approved through this research approval process, but we may, however, disclose medical information about you to people preparing to conduct a research project, for example, to help them look for patients with specific medical needs, so long as the medical information they review does not leave the premises of Northern Nevada HOPES. Otherwise, we will always ask for your specific permission if the researcher will have access to your name, address, or other information that reveals who you are, or will be involved in your care at Northern Nevada HOPES.

As Required by Law. We will disclose medical information about you when required to do so by federal, state or local law.

To Avert a Serious Threat to Health or Safety. We may use and disclose medical information about you when necessary to prevent a serious threat to your health or safety or the health and safety of the public or another person. Any disclosure, however, would only be to someone able to help prevent the threat.

To Schools. HOPES may disclose proof of immunization to a school when State or other law requires the school to have such information prior to admitting you as a student. Written authorization is not required to permit this disclosure. However, HOPES is required to obtain a verbal agreement prior to disclosing information to a school from a parent or guardian or from you if are an adult or emancipated minor. HOPES must document this agreement in your chart. You can revoke this agreement in writing anytime you wish.

Special Situations

Organ and Tissue Donation. If you are an organ donor, we may release medical information to organizations that handle organ procurement or organ, eye or tissue transplantation to an organ donation bank, as necessary to facilitate organ or tissue donation and transplantation.

Military and Veterans. If you are a member of the armed forces, we may release medical information about you as required by military command authorities.

Workers' Compensation. We may release medical information about you for workers' compensation or similar programs. These programs provide benefits for work-related injuries or illnesses.

Public Health Risks. We may disclose medical information about you for public health activities. These activities generally include the following:

- To prevent or control disease, injury or disability;
- To report births and deaths;
- To report child abuse or neglect;

Revised 03/17/2014 Page 5 of 9



- To report reactions to medications or problems with products;
- To notify people of recalls of products they may be using;
- To notify a person who may have been exposed to a disease or may be at risk for contracting or spreading a disease or condition;
- To notify the appropriate government authority if we believe a patient has been the victim of abuse, neglect or domestic violence.

Health Oversight Activities. We may disclose medical information to a health oversight agency for activities authorized by law. These oversight activities include, for example, audits, investigations, inspections, and licensure. These activities are necessary for the government to monitor the overall health care system, the conduct of government programs, and compliance with civil rights laws.

Lawsuits and Disputes. We may disclose medical information about you in response to a subpoena, discovery request, or other lawful order from a court.

Law Enforcement. We may release medical information if asked to do so by a law enforcement official as part of law enforcement activities; in investigations of criminal conduct or of victims of crime; in response to court orders; in emergency circumstances; or when required to do so by law.

Coroners, Medical Examiners and Funeral Directors. We may release medical information to a coroner or medical examiner. This may be necessary, for example, to identify a deceased person or determine the cause of death. We may also release medical information about patients of Northern Nevada HOPES to funeral directors as necessary to carry out their duties.

Protective Services for the President, National Security and Intelligence Activities. We may release medical information about you to authorized federal officials so they may provide protection to the President, other authorized persons or foreign heads of state, or conduct special investigations, or for intelligence, counterintelligence, and other national security activities authorized by law.

Inmates. If you are an inmate of a correctional institution or under the custody of a law enforcement official, we may release medical information about you to the correctional institution or law enforcement official. This release would be necessary (1) for the institution to provide you with health care; (2) to protect your health and safety or the health and safety of others; or (3) for the safety and security of the correctional institution.

Your Rights Regarding Medical Information About You

You have the following rights regarding medical information we maintain about you:

Right to Request, Inspect and Copy. You have the right to request, inspect and copy medical information in electronic or paper form, that may be used to make decisions about your care. Usually, this includes medical and billing records, but does not include psychotherapy notes.

To inspect and copy medical information that may be used to make decisions about you, you must submit your request in writing to our Privacy Officer at the address below. If you request a copy of the

Revised 03/17/2014 Page 6 of 9



information, we have 30 days to respond to your request and we may charge a fee for the costs of copying, mailing or other supplies associated with your request. Copies of PHI may be given to you in any form that you request, including electronic (MS Word, Excel, text, HTML or PDF).

We may deny your request to inspect and copy in certain very limited circumstances. In some circumstances, if you are denied access to medical information, you may request that the denial be reviewed. Another licensed health care professional chosen by Northern Nevada HOPES will review your request and the denial. The person conducting the review will not be the person who denied your request. We will comply with the outcome of the review.

Right to Amend. If you feel that medical information that we have about you is incorrect or incomplete, you may ask us to amend the information. You have the right to request an amendment for as long as the information is kept.

To request an amendment, your request must be made in writing and submitted to our Privacy Officer. In addition, you must provide a reason that supports your request.

We may deny your request for an amendment if it is not in writing or does not include a reason to support the request. In addition, we may deny your request if you ask us to amend information that:

- Was not created by us, unless the person or entity that created the information is no longer available to make the amendment;
- Is not part of the "designated record set" kept by Northern Nevada HOPES;
- Is not part of the information which you would be permitted to inspect and copy; or
- Is accurate and complete.

Right to an Accounting of Disclosures. You have the right to request an "accounting of disclosures." This is a list of the disclosures we made of medical information about you. This accounting will not include many routine disclosures, including those made to you or pursuant to your authorization; those made for treatment, payment and operations purposes as discussed above; those made for national security and intelligence purposes; and those made to correctional institutions and law enforcement in compliance with law.

To request this list of accounting of disclosures, you must submit your request in writing to our Privacy Officer. Your request must state a time period that may not be longer than six years and may not include dates before April 14, 2003. Your request should indicate in what form you want the list (for example, on paper or electronically). The first list you request within a 12-month period will be free. For additional lists, we may charge you for the costs of providing the list. We will notify you of the cost involved and you may choose to withdraw or modify your request at that time before any costs are incurred.

Right to Request Restrictions. You have the right to request additional restrictions or limitations on the medical information we use or disclose about you for treatment, payment or health care operations. To request restrictions, you must make your request in writing to our Privacy Officer at the address below. In your request, you must tell us (1) what information you want to limit; (2) whether you want to limit our use, disclosure or both; and (3) to whom you want the limits to apply.

Revised 03/17/2014 Page 7 of 9



Right to Request Confidential Communications. You have the right to request that we communicate with you about medical matters in a certain way or at a certain location. For example, you can ask that we only contact you at work or by mail.

To request confidential communications, you must make your request in writing to our Privacy Officer. We will not ask you the reason for your request. We will accommodate all reasonable requests. Your request must specify how or where you wish to be contacted. If complying with your request entails additional expenses over our usual means of communication, we may ask that you reimburse us for those expenses.

Right to a Paper Copy of This Notice. You have a right to a paper copy of this notice at any time. Even if you have agreed to receive this notice electronically, you are still entitled to a paper copy of this notice. To obtain a paper copy of this notice, please request one in writing from our Privacy Officer at the address below.

Right to Protected Information After Death. By law, HOPES has to protect your health information for 50 years following the date of your death. However, HOPES may disclose protected information without your consent for research purposes following your death. HOPES may disclose your Protected Health Information to family members and others who were involved in the care or payment for care prior to death, unless doing so is inconsistent with any prior expressed preference.

Changes to This Notice

We reserve the right to change our policies and practices concerning the privacy of your medical information and this notice. We reserve the right to make the revised or changed notice effective for medical information we already have about you as well as any information we receive in the future. We will always post a copy of the current notice near main client entrances at all facilities. The notice will contain the effective date on the first page.

Complaints/Breach

If you believe your privacy rights have been violated, you may file a complaint with Northern Nevada HOPES or with the Secretary of the Department of Health and Human Services. To file a complaint with Northern Nevada HOPES, contact our Privacy Officer at the address and phone number below. All complaints must be submitted in writing. You will not be penalized for filing a complaint. You have a right to be notified if your PHI has been disclosed without your consent in all circumstances except when:

- HOPES conducts a risk assessment that establishes that there is a "low probability" of compromise of the PHI
- Unintentional good faith use of PHI by a employee of HOPES
- Inadvertent disclosure between two individuals who are otherwise authorized to access the PHI
- Disclosure to an unauthorized person who would not reasonably have been able to retain such information.

Revised 03/17/2014 Page 8 of 9



Northern Nevada HOPES (HOPES) takes grievances (complaints) seriously and invites discussions with clients about their concerns. HOPES will provide a forum to address grievances, striving for a satisfactory resolution prior to a formal grievance being filed. In the event a satisfactory resolution is not achieved, a client may file a formal grievance. During the formal grievance HOPES strives to work with clients to find a mutually satisfying conclusions. If you would like a copy of the grievance procedure, please contact the Privacy Officer.

Other Uses of Medical Information

Other uses and disclosures of medical information not covered by this notice or the laws that apply to us will be made only with your written permission. If you provide us permission to use or disclose medical information about you, you may revoke that permission, in writing, at any time. If you revoke your permission, thereafter we will no longer use or disclose medical information about you for the reasons covered by your written authorization. You understand that we are unable to take back any disclosures we have already made with your permission, and that we are required to retain our records of the care that we provided to you.

Privacy Officer

Northern Nevada HOPES' Privacy Officer is:

Gail Thompson, BSN, RN 580 West 5th Street Reno, NV 89503

Phone: 775-786-4673 Fax: 775-348-2889

Email: GThompson@nnhopes.org

Website: nnhopes.org

Revised 03/17/2014 Page 9 of 9

NORTHERN NEVADA HOPES: POLICY AND PROCEDURE

PnP #: AD0003v2	Complaints and Grievance Procedure	
Initial Date: 1/22/13	Latest Version Date: 4/30/2014 Approved Date: 9/23/2014	
Prepared by: Ivy Spadone, COO	Revised by: Ivy Spadone, COO Approved by: Douglas Brewer, Bo	
		President

POLICY: Complaints and Grievance

Northern Nevada HOPES (HOPES) takes complaints and grievances seriously and invites discussions with clients about their concerns. HOPES will provide a forum to address complaints and grievances, striving for a satisfactory resolution prior to a formal grievance being filed. Complaints are issues that can be addressed by department Directors and Coordinators, which would not involve changes in policies and procedures. A grievance is defined as any unresolved issue regarding a policy, practice or procedure. Grievances are formal, written requests that will be forwarded to the Chief Compliance Officer for review.

PROCEDURE:

I. Complaints. A client of Northern Nevada HOPES who has a complaint about HOPES or a HOPES staff member may file a complaint verbally with any employee at HOPES, within fourteen (14) days of the event giving rise to the complaint. Complaints not filed in a timely manner are waived. The employee will then address the complaint with his/her immediate supervisor to ameliorate the issue.

Upon the initiation of a complaint, the client is asked to provide HOPES with the following information:

- a. Name, address, and telephone number;
- b. A brief statement of the nature of the matter, the reason(s) for the complaint, and why client feels that the complaint is justified

Within 72 business hours of HOPES receiving the complaint, it will be given to the respective department director who will contact the client to gain a better understanding of the nature of the complaint and begin the resolution process. The department coordinator will attempt to resolve the matter with the client.

HOPES will make a final determination regarding the complaint as soon as reasonably possible but not more than ten (10) days after receiving it unless more time is required for fact-finding. Client will be informed of the resolution of the complaint by either a Director or Coordinator verbally.

If the determination of the complaint is not acceptable to the client and they wish to pursue the matter further, a Formal Grievance may be filed. If the compliant results in a policy change, the Director or Coordinator will initiate the process of changing the policy.

II. Formal Grievance Process. The formal grievance must be submitted in writing to HOPES within 10 days the incidence giving rise to the formal grievance or 10 days after the dissatisfaction with a complaint determination. Formal grievances not filed in a timely manner will be deemed waived.

The formal grievance shall contain the following client information:

a. Name, address, and telephone number;

- Date: 9/23/2014 | PnP #: AD0003v2
- b. A brief statement of the nature of the matter, the reason(s) for the appeal, and why the client feels that the grievance is justified;
- c. How the client would like the matter resolved.

Northern Nevada HOPES will investigate the grievance within ten (10) days of receiving the grievance. Investigation activities may include:

- a. Individual meeting with the client
- b. If the grievance is against a staff member, a meeting with the client and the staff member; with a neutral staff member present to act as mediator
- c. A meeting with the client and their treatment team
- d. Interviewing other staff and/or clients

When the investigation is complete, the client will be informed in writing of the resolution within ten (10) days of the conclusion of the investigation. HOPES will retain a copy of the letter for their records.

If a client has a grievance against the Chief Executive Officer of Northern Nevada HOPES, they may file their grievance with the Northern Nevada HOPES Board of Directors OR Chief Compliance officer. The grievance must be submitted in writing to HOPES within 10 days of the event giving rise to the grievance. Grievances not filed in a timely manner will be deemed waived.

The Grievance shall contain the following client information:

- a. Name, address, and telephone number;
- b. A brief statement of the nature of the matter, the reason(s) for the appeal, and why the client feels that the grievance is justified;
- c. How the client would like the matter resolved.

The grievance should be mailed to Northern Nevada HOPES, Attention: Board of Directors, 467 Ralston St., Reno, NV, 89503; or faxed to the following: 775-348-1301.

Northern Nevada HOPES Board of Directors will investigate the grievance within ten (10) days of receiving the grievance. When the investigation is complete, the client will be informed in writing of the resolution within ten (10) days of the conclusion of the investigation.

If a client is a participant of the Ryan White Program and wishes to bypass this process, they may file a grievance directly with the State of Nevada, Ryan White Part B Program by mailing their grievance to:

State of Nevada Health Division

Bureau of Child, Family & Community Wellness

Ryan White Part B Program Manager

4150 Technology Way, Suite 106

Carson City, NV 89706

See the State of Nevada website for more information regarding Ryan White Part B Grievance Procedure



ADULT PRIMARY CARE	
PEDIATRIC PRIMARY CARE	
ADULT BEHAVIORAL HEALTH	
PEDIATRIC BEHAVIORAL HEALTH	

ACKNOWLEDGEMENT | Privacy Practices and Complaint/Grievance Procedure I hereby acknowledge that I have received a copy of the Privacy Practices and Grievance Policy. PATIENT NAME PATIENT SIGNATURE DATE **ACKNOWLEDGEMENT REFUSED** On this date, the undersigned patient refused or failed to acknowledge receipt of the Privacy Practices and Grievance Policy. DATE PATIENT NAME Reason for refusal/failure:

A signed copy of this page is to be filed with the patient's record.

SIGNATURE OF HOPES EMPLOYEE

Revised: 2/1/15 Page 1 of 1



PATIENT | Rights and Responsibilities

As a patient, you have the right to:

- Take part in your healthcare and treatment
- Know the names of the people caring for you
- Be treated with respect and dignity in a safe and private setting
- Be informed about your illness and treatment, including options for your care
- Change medical providers at Northern Nevada HOPES
- Get another opinion about your illness or treatment
- Privacy of your health records as determined by HIPAA
- Talk with a supervisor about any questions or problems with your care
- Know about services available through HOPES
- Respect for your cultural, social, spiritual, and personal values and beliefs
- Know about legal reporting requirements
- Ask for special arrangements if you have a disability
- Refuse treatment care and services as allowed by law
- Know the cost of your care and ways you may pay for your care
- Refuse to be included in any research program without limiting medical care or treatment
- File a complaint or a formal grievance, if you are not satisfied with the care at HOPES
- Access the on-call doctor for an answering service after hours
- Be informed that HOPES does not manage chronic pain issues
- Be informed that HOPES does not provide disability assessments
- Be informed that taping your office visit with your provider without disclosing the same does not foster a trusting provider-patient relationship
- Access interpretive services if you do not understand English, or other assistance if you are hearing or sight impaired. Such services are free of charge.
- Not be refused services due to inability to pay
- Use the REMSA Nurse Hotline at 775-858-1000

As a patient, you have the responsibility to:

- Tell your medical provider about your illness or problems
- Ask questions about your illness or care
- Show respect to both caregivers and other patients
- Cancel or reschedule appointments so that another person may have that timeslot
- Pay your co-pays and bills on time
- Use medications or medical devices for yourself only
- · Inform a medical provider if you become worse or have an unexpected reaction to a medication
- Give written permission to release your health records when necessary
- Provide HOPES a copy of your living will or durable power of attorney for health care matters
- Meet with financial counselors to set up payment plans
- Inform the hospital or ER that you're a patient of HOPES for coordination of care
- Not arrive at Northern Nevada HOPES or my appointment intoxicated or under the influence of drugs

If you have any questions, please ask a HOPES employee.

PATIENT NAME PATIENT/LEGAL GUARDIAN SIGNATURE DATE

Revised: 2/1/15 Page 1 of 1



ADULT PATIENT AUTHORIZATION | Patient Release of PHI

This form authorizes the release of Protected Health Information (PHI) pursuant to CFR Parts 160 and 164.

PATIENT NAME		PATIENT ID	DATE OF BIRTH
I authorize Northern Neva	da HOPES to exchange information	with the following ager	ncies and/or individuals:
☐ Renown Health	☐ St. Mary's Health	☐ Northern	Nevada Medical Center
☐ Carson Tahoe Hospital	☐ Banner Churchill Hosp	oital 🗌 Northern	Nevada Adult Mental Health
☐ West Hills	Other:		
	sed (please initial all that apply	• =	
Clinic progress note	es	Hospital records	
Medication lists		Psychiatry notes	i
Substance use notes	S	Lab results	
HIV/AIDS or other		Psychotherapy r	notes
Diagnostic test resu	ılts	D/C summary	
Other (be specific)			
Purpose for Release:			
	tes of service or from		
	n date:		
rules prohibit you from making a of the person to whom it pertain medical or other information is n prosecute any alcohol or drug ab Notice to Patient I understand that I must voluntar but in that event information can signing this authorization, althou plans were payment is conditione information used or disclosed mabe protected by federal privacy in acknowledge that I have the rigil longer be protected by federal praddress above. The revocation w	rily and knowingly sign this authorization be nnot and will not be released. I also underst igh exceptions will be made for a) research ed on an authorization to use Protected He ay be subject to re-disclosure by the person	eless further disclosure is expressive and 164. A general ules restrict any use of the infection of the infe	essly permitted by the written consected and the release of permation to criminally investigate or eleased, and that I may refuse to significant in the released of the released, and that I may refuse to significant in the receiving it, and would then no long the information is disclosed, it may not certified mail to the provider at the as acted in reliance on the
PATIENT/LEGAL GUAR	RIZATION TO RELEAS	E INFORMATIO	DATE
	horization to release information	_	
PATIENT/LEGAL GUAR			DATE



PEDIATRIC PRIMARY CARE	
PEDIATRIC BEHAVIORAL HEALTH	

PEDIATRIC PATIENT AUTHORIZATION | Patient Release of PHI

This form authorizes the release of Protected Health Information (PHI) pursuant to CFR Parts 160 and 164.

PATIENT NAME			PATIENT	ID	DATE OF BIRTH
I authorize Northern Nevada HOPES to exchange information with the following agencies and/or individuals:					
☐ Renown Health	1	\square St. Mary's Health		☐ North	ern Nevada Medical Center
☐ Carson Tahoe H	Hospital	☐ Banner Churchill Ho	spital	☐ North	ern Nevada Adult Mental Health
☐ West Hills					
	T .				
Туре	Family Mem	oer	Туре		Family Member
Name			Name		
Address			Addres		
Address Line 2				ss Line 2	
Phone/Fax			Phone	/Fax	
_	T		_		T = 11
Туре	Foster Family	/	Туре		Guardian Ad Litem
Name			Name		
Address			Address		
Address Line 2			Address Line 2		
Phone/Fax			Phone	/Fax	
Typo	Thoronist		Type		Schools
Type Name	Therapist		Type Name		3010015
Address			Addres		
Address Line 2			Address Line 2		
Phone/Fax			Phone/Fax		
THOTIC/TUX					1
Туре	Child Care Pr	ovider	Туре		Primary Care Provider
Name			Name		
Address			Addres	SS	
Address Line 2			Address Line 2		
Phone/Fax			Phone/Fax		
					_
Туре			Туре		
Name			Name		
Address			Addres	ss	
Address Line 2			Addres	ss Line 2	
Phone/Fax			Phone/Fax		

Information to be released (please initial all that appl	y):
Clinic progress notes	Hospital records
Medication lists	Psychiatry notes
Substance use notes	Lab results
HIV/AIDS, other communicable diseases	Psychotherapy notes
Diagnostic test results	D/C summary
Other (be specific)	
Purpose for Release:	
Dates to include: all dates of service or from	to
Authorization expiration date:	
Notice to the Recipient of the Information This information has been disclosed to you from records protected 164). The federal rules prohibit you from making any further disclopermitted by the written consent of the person to whom it pertain 164. A general authorization for the release of medical or other information to criminally investigate or pros	sure of this information unless further disclosure is expressly is or as otherwise permitted by 42 CFR part 2 or 45 CFR part formation is not sufficient for this purpose. The federal rules
Notice to Patient I understand that I must voluntarily and knowingly sign this author may refuse to sign, but in that event information cannot and will n provider is not conditioned on my signing this authorization, althoutreatment and b) except for psychotherapy notes, for health plans Protected Health Information to determine payment. I understand disclosure by the person or class of persons or facility receiving it, a regulations.	ot be released. I also understand that treatment by this ugh exceptions will be made for a) research related were payment is conditioned on an authorization to use that the information used or disclosed may be subject to re-
I acknowledge that I have the right to revoke this authorization at a disclosed, it may no longer be protected by federal privacy law. (You certified mail to the provider at the address above. The revocation the Provider has acted in reliance on the authorization. Further infinite to time in the Provider's Notice of Privacy Practices).	ou may revoke this authorization in writing, in person, or by will be affected only upon receipt, except to the extent that
PATIENT/LEGAL GUARDIAN SIGNATURE	DATE
REVOKE AUTHORIZATION TO RELEAS I hereby revoke this authorization to release information	
PATIENT/LEGAL GUANDIAN SIGNATURE	DATE

Revised: 2/1/15 Page 2 of 2

PATIENT NAME DATE AGE

ADULT HEALTH HISTORY FOR NEW PATIENTS

Your answers on this form will help your health care provider get an accurate history of your medical concerns and conditions. If you are a current patient there is a shorter update form you can use. Please fill in all five pages. If you cannot remember specific details, please provide your best guess. If you are uncomfortable with any question, do not answer it.

Thank you!

rear?	
9?	
ed by: Little interest or pleasure in doing Feeling down, depressed or hope	~ ~
ms" if none of the symptoms apply to you. L Respiratory	ns you have had in the past few months. Rea List other concerns above. Hematologic/Lymphatic Swollen glands Easy bruising No problems Neurological Headache Memory loss Fainting Dizziness Numbness / tingling Unsteady gait Frequent falls No problems Allergic/Immune Hay fever / allergies Frequent infections No problems Psychiatric Anxiety / stress / irritability Sleep problem Lack of concentration No problems Women only Pre-menstrual symptoms (bloating cramps, irritability)
Endocrine Heat or cold sensitivity	Problem with menstrual periods Hot flashes / night sweats
	ear? ed by: Little interest or pleasure in doin Feeling down, depressed or hop box and/or circle any persistent symptomms" if none of the symptoms apply to you. Lateral Respiratory Cough / wheeze Loud snoring / altered breathing during sleep Short of breath with exertion No problems Gastrointestinal Heartburn / reflux / indigestion Blood or change in bowel movement Constipation No problems Genitourinary Leaking urine Blood in urine Nighttime urination or increased frequency Discharge: penis or vagina Concern with sexual function No problems Musculoskeletal Neck pain Back pain Muscle / joint pain No problems

Women's Health History:									
Total number of pregnancies: Number	Date of last menstrual period if you are still menstruaing:								
Age at begging of periods (menstruation) _		Age at e							
MEDICATIONS: Please list (or show us your o		•		. ,	ications vitamin	e homa			
remedies, birth control pills, herbs, inhalers, etc									
Tomodios, bital control pino, norbo, finiciolo, ca	o. 000 tho b	dok of this fo	iiii ii you noou n		TAKE NO MED				
Medication	D	ose (e.g. mg/	(Ilia		How many tin				
	_	(99-	r···/		,,,,	, .			
Allergies or intolerance to medications (inclu	ide type of re	eaction):							
						NONE			
HEALTH MAINTENANCE SCREENING TES									
Lipid (cholesterol)	Date _				- N.	_V_			
Sigmoidoscopy or Colonoscopy (circle one)	Date _			Abnormal?	□ No	□Yes			
Women only:					□ No □ No	□Yes □Yes			
Mammogram	Date _			Abnormal? Abnormal?	⊔ No □ No	⊔res □Yes			
Pap smear	Date _			Abnormal?	□ No	⊔⊺es □Yes			
Bone Density Test	Date _			ADHOITIAI?		□ I 62			
PERSONAL MEDICAL HISTORY: Do you have					onditions?	□ NONE			
Condition		Now	Past		Comments				
Alcohol / Drug abuse			1 000						
Allergy (Hay Fever)									
Anemia									
Anxiety									
Arthritis (Rheumatoid)									
Arthritis (Osteoarthritis)									
Asthma									
Bladder / Kidney Problems									
Blood Clot (leg)									
Blood Clot (lung)									
Blood Transfusion									
Breast Lump (benign)									
Cancer Breast									
Cancer Colon									
Cancer Other Type									
Cancer Ovarian									
Cancer Prostate									
Cataracts									
Chicken Pox									
Colon Polyp									
Coronary Artery Disease									
Depression									
Diabetes (adult onset)									
Diabetes (childhood onset)									
Diverticulosis									
Emphysema									
Fractures (broken bones)				Where?					
Gallbladder Disease									
Gastroesophageal Reflux (Heartburn/GERD)								
Glaucoma									

Revised 08/09/2013 Page 2 of 5

PERSONAL MEDICAL HISTORY Continued:			
Condition	Now	Past	Comments
Gout			
Gynecological Conditions (Endometriosis)			
Gynecological Conditions (Fibroids)			
Gynecological Conditions (Other)			
Heart Attack			
Hepatitis – Type A			
Hepatitis – Type B			
Hepatitis – Type C			
Hepatitis – Other			
High Blood Pressure			
High Cholesterol			
Hip Fracture			
Irritable Bowel Syndrome			
Kidney Disease / Failure			
Kidney Stones			
Liver Disease			
Migraine Headaches			
Osteoporosis			
Pneumonia			
Prostate (enlargement)			
Prostate (nodules)			
Seizure / Epilepsy			
Skin Condition (Eczema)			
Skin Condition (Psoriasis)			
Skin Condition (Abnormal Moles)			
Sleep Apnea			
Stomach Ulcer			
Stroke			
Thyroid (Nodule)			
Thyroid High (Overactive) / Hyperthyroidism			
Thyroid Low (Underactive) / Hypothyroidism			
Other (list)			
Other (list)			

SURGICAL HISTORY – Please check off any procedure or surgeries. List any abnormal finding or complications.

Surgical Procedure	No	Yes	Year	Comments	
Abdominal Surgery					
Appendectomy (appendix removal)					
Back Surgery (lumbar)					
Biopsy (location)					
Breast Biopsy				Circle: Right Left Both	
Breast Surgery				Circle: Right Left Both	
Colonoscopy					
Coronary Bypass					
Coronary Stent					
EGD (Stomach Endoscopy)					
Cataract					
Gallbladder Removal				Circle: Laparoscopic	
Heart Surgery (other than coronary bypass)					
Hip Surgery				Circle: Right Left Both	
Hysterectomy (total, including ovaries)				Circle: Laparoscopic Vaginal Abdo	ominal
Hysterectomy (partial, ovaries left)				Circle: Laparoscopic Vaginal Abdo	ominal

Revised 08/09/2013 Page 3 of 5

SURGICAL HISTORY Continued:				
Surgical Procedure	No	Yes	Year	Comments
Knee Surgery				Circle: Right Left Both
LEEP (Cervix Surgery)				
Neck Surgery				
Ovary Ligation ("Tubal")				
Ovary Removal				Circle: Right Left Both
Vasectomy				
Sigmoidscopy				
Sinus Surgery				
Other (list)				

Adopted – Yes No (Please Circle) If yes and you do <u>not</u> know your family history skip this section and continue to page 5 (Other Health Issues)

FAMILY HISTORY – Indicate which relative has had the following diseases (parents and siblings are most important).

FAMILY HISTORY – Indicate which re	lative	illas i	iiau ii	IE IUII	owing	uise	<u> </u>	parei	its and sibilitys are i	most important).
Disease	Mother	Father	Sister(s)	Brother(s)	Mom's Mom	Mom's Dad	Dad's Mom	Dad's Dad	Other Relatives	Comments
No significant history known										
Alcohol / Drug abuse										
Allergic Disorder										
Cancer										
Diabetes										
Gastrointestinal Disorder										
Heart Disease										
Hypertension										
Kidney Disease										
Mental Illness										
Migraine Headache										
Myocardial Disorder										
Respiratory Disorder										
Seizure Disorder										
Stroke Syndrome										
Tuberculosis										

Revised 08/09/2013 Page 4 of 5

OTHER HEALTH ISSUES:

Tobacco Use Smoke cigarettes: □ Never □ No □ Ye (If you never smoked please go to alcohol use question now)	Exercise: Do you exercise regularly? What kind of exercise?				
Quit date: How many years did you smoke?	How long (minutes)?How often?				
Approximately how many packs a day did you smoke?					
Current smoker: Packs/day: # of years:	Diet: How would you rate your diet? □ Good □ Fair □ Poor Would you like advice on your diet? □ No □ Yes				
Other tobacco: Pipe Cigar Snuff Chev					
Do you drink alcohol?					
	If you have guns in your home, are they locked up?				
Drug Use Do you use marijuana or recreational drugs? □ No □ Ye	□ Not applicable □ Yes □ No				
Have you ever used needles to inject drugs?					
Sexual Activity Sexually involved currently: No Yes	Have you completed an Advance Directive for Health Care (ADHC), Living Will , or POLST (Physician Orders for Life Sustaining Therapy)?				
Sexual partner(s) is/are/have been:	(Circle above all that apply)				
Birth control method (circle below all that apply): None need Condom, pill, diaphragm, vasectomy, other					
SOCIAL HISTORY:					
Occupation (or prior occupation):	retired/unemployed/leave of absence/disabled (circle one)				
Employer:Years of education or	highest degree:				
Marital status (circle one): single, partner, married, divorced, wid	dowed, other:				
Spouse/partner's name:Nur	mber of children: Ages if under 18 years:				
Number of grandchildren: Number of great gr					
Who lives at home with you?					
	cent travel:				
·					

Thank-you for taking the time to fill this out.

Revised 08/09/2013 Page 5 of 5

PATIENT NOTICE

Our goal at HOPES is to provide quality medical care. Because of our concern for your health and well-being, there are certain types of medications we may not be able to prescribe to you. Examples include the following:

Oxycontin Xanax

Oxycodone Valium

Hydrocodone Restoril

Percocet Klonopin

Percodan Tranxene

Lortab Ativan

Lorcet Ambien

Morphine Soma

Tylenol #3 Methadone

Tylox Vicodin

Ultram/Tramadol Stimulants for Adults

If you are already taking any of the above medications, your provider may want to talk to you about alternative treatments.

If you are a new patient, please be aware that it is highly unlikely we will be able to prescribe any of these medications for you.

If you have questions and concerns about this policy, please feel free to discuss them with your provider or with the Chief Medical Officer.

